

APPENDIX L – MEETINGS WITH REGULATORS AND PROFESSIONAL BODIES

1 The Panel chairman, the staff director and other members of the Panel and its staff had numerous meetings with regulators, primarily the SEC, and various private-sector professional bodies involved in the governance of the profession or their representatives. During these meetings, the Panel representatives reported on the Panel’s activities and progress and discussed various issues and other matters of mutual interest to assist the Panel in gathering information and formulating its recommendations.

2 The groups the Panel or its staff met with and the number of meetings are:

Organization	Number of Meetings
Securities and Exchange Commission and its staff	18
SECPS Quality Control Inquiry Committee	8
Public Oversight Board	8
SECPS Peer Review Committee	6
Independence Standards Board and its staff	5
Auditing Standards Board and its staff	5
SECPS Executive Committee	5
SECPS Peer Review Process Task Force	4
SECPS Planning Subcommittee	4
CEOs of the Big 5 firms	2
Audit Issues Task Force	2
Committee on Corporate Reporting of the Financial Executives Institute	1
International Organization of Securities Commissions	1
Professional Ethics Executive Committee staff	1
International Auditing Standards Subcommittee of the Auditing Standards Board	1
International Auditing Practices Committee	1

